PRECISE POSITIONING OF UAVS - DEALING WITH CHALLENGING RTK-GPS MEASUREMENT CONDITIONS DURING AUTOMATED UAV FLIGHTS

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ABSTRACT:
For some years now, UAVs (unmanned aerial vehicles) are commonly used for different mobile mapping applications, such as in the fields of surveying, mining or archeology. To improve the efficiency of these applications an automation of the flight as well as the processing of the collected data is currently aimed at. One precondition for an automated mapping with UAVs is that the georeferencing is performed directly with cm-accuracies or better. Usually, a cm-accurate direct positioning of UAVs is based on an onboard multi-sensor system, which consists of an RTK-capable (real-time kinematic) GPS (global positioning system) receiver and additional sensors (e.g. inertial sensors). In this case, the absolute positioning accuracy essentially depends on the local GPS measurement conditions. Especially during mobile mapping applications in urban areas, these conditions can be very challenging, due to a satellite shadowing, non-line-of sight receptions, signal diffraction or multipath effects. In this paper, two straightforward and easy to implement strategies will be described and analyzed, which improve the direct positioning accuracies for UAV-based mapping and surveying applications under challenging GPS measurement conditions. Based on a 3D model of the surrounding buildings and vegetation in the area of interest, a GPS geometry map is determined, which can be integrated in the flight planning process, to avoid GPS challenging environments as far as possible. If these challenging environments cannot be avoided, the GPS positioning solution is improved by using obstruction adaptive elevation masks, to mitigate systematic GPS errors in the RTK-GPS positioning. Simulations and results of field tests demonstrate the profit of both strategies.

1. INTRODUCTION
In recent years mobile mapping platforms, such as unmanned aerial vehicles (UAVs), are more and more often used for surveying tasks with high accuracy requirements. Examples for UAV-based mapping and surveying applications are diverse, such as surveying and 3D modeling of buildings (Grenzdörffer et al., 2015), infrastructure inspection (Merz and Kondoul, 2011), landslide activity monitoring (Peterman, 2015) or mining and archeology (Tscharf et al., 2015). To increase the efficiency of these mobile mapping applications in relation to costs and time exposure, a fully automated and intelligent surveying process is currently aimed at.

The research presented here, has been carried out in the context of a scientific project called ‘Mapping on Demand’ (Klingbeil et al., 2014). The aim of this project is to enable autonomous mapping of objects, such as buildings, with an UAV, which plans its trajectory autonomously, detects and avoids obstacles and provides 3D mapping data already during the flight. The UAV, which has been developed within this project, is equipped with digital cameras (Schneider et al., 2016), a laser scanner (Droeschel et al., 2014) and a direct georeferencing system Eling et al. (2014). The goal of the automation is to optimize the flight path in relation to the intended data acquisition, to reduce the user effort in the processing chain, to enable a real-time mapping with UAVs and to improve the accuracy of the mapping results, especially in urban environments.

1.1 Direct positioning of UAVs
An autonomous mapping or surveying with UAVs includes the following aspects: The flight-planning, the georeferencing, the machine control, the obstacle detection and the mapping data acquisition. In this paper we will focus on the georeferencing of UAVs, which is the essential basis for the UAV navigation (flight planning and machine control) and the autonomous surveying with UAVs. Generally, the georeferencing is the process of determining the 3D positions (e.g. X, Y, Z) and the 3D attitudes (e.g. φ, θ, ψ) of the UAV platform and/or the collected mapping data in a predefined coordinate frame (Eling et al., 2015). This can be done indirectly, using ground control points, or directly, based on an onboard multi-sensor system. Mostly, an indirect georeferencing requires user intervention, such as distribution of targets. Hence, the direct georeferencing is better suited for automated UAV mapping and surveying applications. The challenge is to realize a highly accurate direct georeferencing, even if only small and lightweight sensors can be used on UAVs. First developments, which lead to a cm-accurate positioning of UAVs, are presented in Bläha et al. (2011); Bäumker et al. (2013); Rehak et al. (2014); Eling et al. (2014). All these approaches use GPS (Global Positioning System) carrier phase observations and RTK-GPS (real-time kinematic) systems or algorithms, to realize high accuracies in the absolute positioning. While the works cited above describe academic activities in using RTK-GPS on lightweight UAVs, an increasing number of commercial products is available for this purpose. Companies like MaVinci (2017), Alboix (2017) or senseFly (2017) offer integrated UAV-GPS-Camera solutions, where the images are georeferenced using RTK-GPS observations. Results are presented for example in Gerke and Przybilla (2016).

In urban environments, e.g. when mapping a building, it is very likely, that the UAV is flying close to infrastructure, which is blocking, diffracting or reflecting GPS signals. These effects are called site-dependent GPS effects. In this paper two meth-
mostly described as the first

The near-field denotes the immediate vicinity of the GPS antenna,

platform.

cated to the near-field and the far-field of the antenna on the UA

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outages and a poor satellite geometry should be avoided as far as

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During a GPS outage of 30 s, when MEMS inertial sensors are

sensors deteriorate the positioning accuracy only a few seconds

after the GPS loss of lock. For example in Mohamed et al. (2015)
sensors

for UA flights in urban areas, frequent losses of the GPS lock

automatic applications (Morales and Tsubouchi, 2007). Especially

tation, can lead to a shadowing of GPS observations during kine-

An RTK-GPS positioning is based on GPS carrier-phase observa-
tions to avoid them during the flight-planning, and (2) mitigate

site-dependent effects, if they can not be avoided.

1.2 Site-dependent GPS effects

An RTK-GPS positioning is based on GPS carrier-phase observa-
tions, which are received at a stationary GPS master antenna and

and a moving rover antenna. In case of an RTK-GPS positioning of

UAVs, the rover antenna is fixed on the UAV. One precondition

for the estimation of the RTK-GPS positions is that carrier-phase

observations of at least four satellites are received at both anten-

nas (Hofmann-Wellenhof et al., 2008). However, it is well known

that challenging GPS measurement conditions with obstacles in

the signal path, such as single buildings, street canyons or vege-

tation, can lead to a shadowing of GPS observations during cine-

matic applications (Morales and Tsubouchi, 2007). Especially

for UAV flights in urban areas, frequent losses of the GPS lock

have to be expected. Certainly, observations of additional sensors
(e.g. MEMS (Micro Electro Mechanical System) inertial sensors)
can be used to bridge these GPS outages, but drift effects of these

sensors deteriorate the positioning accuracy only a few seconds

after the GPS loss of lock. For example in Mohamed et al. (2015)
it was shown that deviations of 10-100 m have to be expected
during a GPS outage of 30 s, when MEMS inertial sensors are

used on a UAV.

Another effect that results from a satellite shadowing is a poor

satellite geometry, which usually worsens the RTK-GPS position-
ing accuracy. Thus, with the aim to provide high accuracies, GPS

outages and a poor satellite geometry should be avoided as far as

possible. This can be realized by considering the predicted GPS

measurement conditions in the flight planning (see section 2).

Beside the shadowing effects, further site-dependent GPS effects

have to be considered for UAV flights. These effects can be allo-
cated to the near-field and the far-field of the antenna on the UAV

platform.

The near-field denotes the immediate vicinity of the GPS antenna,

mostly described as the first 50 cm around the antenna, and has

an influence on the antenna phase center characteristics. A possi-

bility to reduce these near-field effects is the antenna calibration

(Wübbena et al., 2000; Zeimetz and Kuhlmann, 2008).

The far-field includes all possible distant error sources, such as

vegetation, the earth surface or buildings. The systematic errors,

which result from the far-field are shown in Figure 1. These are

non-line-of-sight receptions (NLOS), signal diffraction and far-

field multipath.

Far-field multipath effects are induced by reflecting surfaces in

the surrounding of the antenna. The direct and reflected signals

interfere in the antenna and lead to short periodic errors in both,

the observation and coordinate domain (Hofmann-Wellenhof et

al., 2008). If the direct signal path is blocked and only reflected

signals arrive at the antenna, one speaks of non-line-of-sight

(NLOS) receptions. Furthermore, satellite signals can also be
diffracted at edges of an obstacle. This leads to a bending of the

signal into the shadowed area. Thus, although the direct signal

path is blocked, the affected signal arrives at the antenna (Brun-

er et al., 1999).

All these effects lead to systematic deviations in the observed

GPS carrier phases and finally also in the estimated RTK-GPS

positions. The error resulting from multipath effects is limited

to one quarter of the carrier phase wavelength, which is about

5 cm for GPS L1 signals (Hofmann-Wellenhof et al., 2008). In

contrast, NLOS reception has the potential to cause limitless er-

rors (Strode and Groves, 2015). In practical applications the ef-

fects of multipath, diffraction and NLOS reception are typically

combined. As a result they also deteriorate the probability of a

successful carrier phase ambiguity resolution, which is the key to

high accuracies in an RTK-GPS positioning.

Summarizing, far-field errors make cm-accuracies impossible

and should be avoided during UAV flights. If these errors cannot

be avoided, for example when the UAV has to fly close to build-

ings during mapping applications, simple strategies are necessary

to mitigate these effects, to still allow for high GPS accuracies

(see section 3).

1.3 UAV platform and data basis

In this paper we assume that the UAV platform is equipped with

a direct georeferencing system, at least one digital camera and/or

a small and lightweight laser scanner as well as a processing unit.

Furthermore, we assume that the UAV platform is flying fully

autonomous and that the surroundings of the UAV, such as the build-

ings along the trajectory, can either be mapped in near real-
time during the flight or are known a priori from a coarse building

model. The real-time onboard mapping can be based on a directly

georeferenced incremental bundle adjustment of the collected im-

ages or on a directly georeferenced 3D onboard laser scanning.

An a priori 3D building model can be a LOD2 city model (level of
detail 2, 3D model including roof structure), which is usually

available in a global coordinate frame.

1.4 Related work

Due to the increasing availability of urban city models, they are

more and more used in stand alone GPS applications, like. e.g.
car or pedestrian navigation. The aim is to predict the satellite

availability or detect possible signal deteriorations in order to

improve the positioning solution on the meter level (Groves

et al., 2012; Wang et al., 2013). Primarily the signal quality check

serves for the mitigation of NLOS reception, since these effects

have the potential to cause limitless errors in the range measure-

ments (Strode and Groves, 2015). Alternatively, the satellite visi-
bility can be determined from camera systems that are aligned to
the zenith direction or are equipped with fish-eye lenses (Lohmar,

1999; Meguro et al., 2009; Moreau et al., 2017). These tech-
niques can be used to efficiently enhance navigation solutions in
urban canyons and usually accuracies on the meter level are suf-
ficient for tasks, such as distinguishing between pedestrian walk-
ways and traffic lanes. However, for UAV-based mapping appli-
cations, completely reliable methods to ensure a highly accurate direct positioning at the centimetre level even under challenging GPS measurement conditions are still missing. The antenna environment can also be integrated into the a-priori mission planning process of UAV flights. In Gandor et al. (2015) a sophisticated open source flight planning tool is presented, where, among other things, digital elevation models can be used to assess and optimize the waypoint planning. By forecasting satellite positions using an almanac, the satellite visibility and the expectable quality of the satellite geometry along the trajectory can be determined and analyzed. This enables an improvement of the waypoint planning in terms of flight time and preventing GPS outages due to obstructions. Nevertheless, assessing and adapting the waypoint planning in real time during the UAV flight is not possible yet and is subject to current research in this field.

1.5 Objectives

In this paper two strategies will be described and analyzed, which improve the direct positioning accuracies for UAV-based mapping and surveying applications under challenging GPS measurement conditions:

1. Optimized selection of possible waypoints by assessing the available satellite constellation with respect to the UAV environment.
2. Improvement of the positioning solution by using obstruction adaptive elevation masks (OAEMs).

In both approaches an integration of a known antenna surrounding, which can come from an onboard near real-time mapping process or an a priori known 3D model of the UAV environment, into the mobile mapping process is proposed. On the one hand, we aim at an improvement of the UAV flight planning, to avoid GPS challenging environments. On the other hand, we introduce a method to mitigate NLOS reception and signal diffraction for the RTK-GPS processing during mobile mapping applications. In the following section (section 2) we first describe the quality assessment of possible waypoints. Afterwards we demonstrate the proposed method with simulated mobile mapping scenarios. In section 3 the determination of OAEMs is described and their impact on the quality of a positioning solution is shown for a kinematic field test.

2. GPS CONSTELLATION BASED FLIGHT PLANNING

In contrast to a remotely controlled flight, the UAV has to consider its 3D environment during an autonomous flight, to be able to safely navigate close to buildings, vegetation or moving objects. Based on the user defined destination or an exploration algorithm as well as information about possible obstacles, in this case the flight path has to be adapted frequently and in real-time (Nieuwenhuisen and Behnke, 2015). Therefore, the 3D environment of the planned flight path has to be known a priori and/or recorded during the flight. Since the absolute accuracies of the GPS positioning and the direct georeferencing are also dependent on the respective 3D environment of the UAV, we suggest to also include information about the expected GPS measurement conditions in the flight planning. Hence, a realistic and site-specific measure of the quality of the GPS measurement conditions is required for a fully autonomous UAV waypoint flight.

2.1 Waypoint quality assessment

In general, the quality of possible GPS stations, which are waypoints of a flight path here, can be assessed by computing position dilution of precision (PDOP) values (Misra and Enge, 2001). PDOP values are determined from the parameter cofactor matrix \( Q_{xx} \) of the GPS position determination in a least squares adjustment or a Kalman Filter approach:

\[
Q_{xx} = (A^T \cdot A)^{-1} = \begin{bmatrix}
q_{XX} & q_{XY} & q_{XZ} & q_{Xt} \\
q_{YX} & q_{YY} & q_{YZ} & q_{Yt} \\
q_{ZX} & q_{ZY} & q_{ZZ} & q_{Zt} \\
q_{Xt} & q_{Yt} & q_{Zt} & q_{tt}
\end{bmatrix}
\] (1)

where \( X, Y, Z \) are the position parameters and \( t \) is the receiver clock error. The geometry matrix \( A \) only includes the line-of-sight (LOS) vectors from the rover antenna to the respective GPS satellites. Therefore, \( A \) can be determined without knowing any observations, by using an approximate antenna position and the satellite coordinates, which can be calculated from the satellite ephemeris for a given observation time. Finally, the PDOP value results from equation 2:

\[
PDOP = \sqrt{q_{XX} + q_{YY} + q_{ZZ}}
\] (2)

According to Hofmann-Wellenhof et al. (2008), the PDOP value is a measure for the quality of the satellite geometry. Generally speaking, the lower the PDOP, the better is the satellite constellation and the better is the predicted accuracy for the estimated GPS position at a given waypoint and a specific time. Nevertheless, to be able to assess the actual satellite visibility along the flight path, the satellite obstruction due to the UAV environment has to be taken into account as well.

2.2 Visibility analysis

As mentioned in section 1.3, we assume that the 3D environment of the region of interest is either known a priori from a given 3D model or reconstructed during the UAV flight, based on the measurements of an onboard laser scanner or a digital camera. Using this information, the PDOP determination can be adapted according to the actual satellite obstruction at any waypoint of the planned flight path. With this in mind, the visibility analysis is performed in the following calculation steps: (1) The given 3D model of the environment is generalized with plane segments. (2) The LOS vectors from each waypoint of the planned flight path to all satellites are computed. (3) A vector-plane intersection is calculated for all LOS vectors and planes of the 3D model. (4) All intersection points are tested, whether they are inside the restricting polygons for each plane, and whether they are between the waypoint and the satellites. If both tests are positive, the satellite signal would be obstructed at this waypoint and the respective satellite has to be discarded in the PDOP determination. (5) The positions of the satellites, identified as being visible, are used to compute the actual PDOP values to assess the quality of the respective waypoint. This procedure allows for an improvement of the flight planning with respect to the present GPS measurement conditions. In practice, a grid of PDOP values represents a GPS geometry map, which can contribute to the decision-making process with the aim to find the best flight path for surveying and mapping applications with UAVs at any location. It should be noted here, that there may be very efficient algorithms suitable for the visibility analysis, i.e. the ones implemented on graphic cards to render shadows. However, we do not consider them within this paper.
2.3 Simulations

To analyze the previously described procedure, simulations were performed. Therefore, a 3D-model of a real building and a simplified representation of its surrounding vegetation were used as obstacles. In Figure 2 and Figure 3, these obstacles are shown in gray. The plane segments in front of the building represent a tree and the plane on the left side of the building is a simplified representation of dense vegetation. Based on this information as well as the known GPS satellite positions at a given observation time, a 3D GPS geometry map can be produced. In Figure 2, this map is displayed as a 2D-grid for better illustration. The different colors of the map are a measure for the available satellite constellation at possible waypoints of the UA V trajectory. Thus, this map can be used to improve the flight planning, according to the expected GPS measurement conditions at different waypoints. Green points indicate a good satellite geometry and a probably high GPS positioning accuracy. In contrast, red points indicate poor accuracies or GPS losses of lock. In the flight planning, which can be performed in real-time during the flight or in advance, it should be aimed at, to head for green waypoints at regular intervals. Otherwise long periods of GPS outages have to be expected.

In Figure 3 the PDOP values are shown for a real UA V trajectory along the building. This emphasizes, how the GPS measurement conditions can vary during a flight. The red waypoints of this trajectory should ideally be prevented as far as possible, to avoid long-term GPS losses of lock and to be able to provide high positioning accuracies in the direct georeferencing.

3. IMPROVED POSITION ESTIMATION

Despite a proposed GPS constellation based flight planning it is not always possible to avoid poor GPS measurement conditions, when UAVs are used for mobile mapping applications. Often a comprehensive exploration or mapping of the region of interest requires flight paths close to buildings or vegetation (cf. Figure 3). To ensure a precise positioning of the UA V, we aim at an improvement of the position estimation by mitigating the most critical site-dependent effects, NLOS reception and signal diffraction. In Figure 4, a schematic description of the mobile mapping process, including the proposed method (highlighted in red) is shown. The basic idea is to form elevation masks that are dynamically derived from appropriate 3D building models, such as used in the previous section, or 3D point clouds of the current UAV position environment. The obstruction adaptive elevation masks (OAEMs) can then be used to identify and eliminate satellite signals that are subject to the aforementioned effects. In this paper, we use georeferenced 3D point clouds from terrestrial laser scanners (TLS) for the determination of the OAEMs. As mentioned above, in principle the TLS point clouds can easily be replaced by point clouds from the onboard mobile mapping process.

Figure 2. Site-dependent GPS geometry map (colored dots) for a 2D-area between a building (right) and a simplified representation of vegetation (left wall).

Figure 3. Site-dependent PDOP values (colored dots) for a UA V trajectory along a building (right) and a simplified representation of vegetation (left wall).

Figure 4. Schematic description of the mobile mapping process including the improved position estimation by using OAEMs.
3.1 Determination of obstruction adaptive elevation masks

In the first step, an initial antenna position $X_0$ and its accuracy $\Sigma X_0$ is determined with all available observations. Afterwards, the line-of-sight vectors $d_i = [e_i, n_i, u_i]^T$ from this initial antenna position to every point $X_i$ of the point cloud are computed in a local topocentric coordinate system. Next, the azimuth and elevation angles ($\alpha_i$, $\beta_i$) with respect to the initial antenna position $X_0$ are determined according to

$$\begin{align*}
\alpha_i &= \arctan \left( \frac{e_i}{n_i} \right) \\
\beta_i &= \arctan \left( \frac{u_i}{\sqrt{e_i^2 + n_i^2}} \right)
\end{align*} \quad (3)$$

To relate the computed elevation values to the obstacles in the antenna surrounding, the $\beta_i$ values are allocated to an azimuth grid with a predefined cell width of $\delta = 0.5^\circ$. For every cell $c$ the highest elevation angle $\bar{\beta}_c$ is identified according to (5). In the case that a cell is not filled, i.e. no obstructions are present for this azimuth area, $\bar{\beta}_c$ is set to $0^\circ$.

$$\bar{\beta}_c = \begin{cases} 
\max \{ \beta_i \in c \}, & \text{cell } c \neq [] \\
0^\circ, & \text{cell } c = []
\end{cases} \quad (5)$$

The intention of the cell wise determined $\bar{\beta}_c$ values is to identify satellite signals that are received without a direct line-of-sight. Since the accuracy of the elevation angles $\sigma_{\beta_i}$, and thereby also the correctness of the identification, directly depends on the accuracy of the initial antenna position $\Sigma X_0 = [\sigma_{\nu_0}, \sigma_{\nu_n}, \sigma_{\nu_u}]^T$, the $\beta_c$ values have to be adjusted with respect to $\Sigma X_0$ and the height and distance of the respective obstruction source. For this purpose, $\sigma_{\bar{\beta}_c}$ is used as an adjustment value for every cell $c$, to compensate inaccuracies in the identified $\beta_c$ values. Therefore, the accuracy of $\bar{\beta}_c$ is determined by propagating $\Sigma X_0$ according to the error propagation law:

$$\sigma_{\bar{\beta}_c} = \sqrt{\mathbf{F}_c \cdot \Sigma X_0 \cdot (\mathbf{F}_c)^T} \quad (6)$$

where $\mathbf{F}$ contains of the partial derivatives of (4) in relation to the components of the respective LOS vector. Afterwards, the cell wise adjusted elevation angles $\hat{\beta}_c$ are computed by

$$\hat{\beta}_c = \bar{\beta}_c + \sigma_{\bar{\beta}_c} \quad (7)$$

The magnitude of $\sigma_{\bar{\beta}_c}$ depends on (i) the height and distance of the obstacle and (ii) the accuracy of the initial antenna position. Hence, in order to avoid values higher than $\sigma_{\bar{\beta}_c} = 5^\circ$, independent from the obstacle dimensions, we estimated that the initial antenna position should be known with an accuracy better than approximately 20cm. For ambiguity fixed RTK positions, this can easily be achieved. Nevertheless, in the case of float or even code solutions this is different. In these cases, the initial antenna position should be determined by using the data of additional sensors, such as inertial sensors or visual odometry (Scaramuzza and Fraundorfer, 2011).

After the cell wise adjustment of the $\hat{\beta}_c$ values is performed, the OAEM is represented by a fully populated $360^\circ$ index vector that can be used as a Look-Up-Table to identify satellite signals that are subject to NLOS reception or signal diffraction. In the RTK-GPS position determination, for all received satellite signals the elevation and azimuth angles ($\alpha_j$, $\beta_j$) to the respective satellites are computed according to (3) and (4). In the case that an elevation angle $\beta_j$ is lower than the elevation angle $\bar{\beta}_c$, which is taken from the cell $c$ associated to the azimuth $\alpha_j$, the satellite signal is either received by NLOS reception or the signal is diffracted at an edge of an obstacle. By excluding these satellites from the coordinate estimation process, a more precise and robust positioning can be performed. Nevertheless, it should be noted that far-field multipath effects are not mitigated by the presented approach.

3.2 Field tests

In order to evaluate the performance of OAEMs, a kinematic field test was performed in a test area, where both, good and bad GPS measurement conditions can be found. In the surrounding of the trajectory a machine hall and a shed with heights of approximately 9.75m and 8.90m degraded the GPS measurement conditions. In advance to the field test, the area was measured with a terrestrial laser scanner (TLS) and the point clouds were transformed into the global coordinate frame.

Instead of real UAV data we used a test set up, which consists of an AX1202GG antenna on a 2 meter prism pole connected to a GPS1200 receiver. Furthermore, a $360^\circ$ prism of type Leica GRZ122 was mounted under the antenna. This enabled us to perform controlled reference measurements with a Leica TS16 tacheometer in a stop&go mode. Since the tacheometer measurements were only triggered during the stop phase, latency times between angular and distance measurements during the motion of the UAV did not have to be taken into account and the reference values could clearly be related to the actual antenna positions. Before the kinematic test, the position of the tacheometer was determined via free stationing with an accuracy of 3mm for the east and north components and 5mm for the up component respectively.

We are aware that this test set up and this measurement procedure do not simulate a UAV flight in a completely realistic way. Vibrations, electromagnetic disturbances or high dynamic motions are effects that usually influence the data collection during an UAV flight and which are not present during the field test. Nevertheless, in Eling et al. (2015) it was demonstrated, that accuracies in the order of the expected 1-3cm can be achieved during the flight, if appropriate countermeasures, such as shielding of the processing unit, are performed. Thus, the RTK solution, derived from the data collected with the presented test set up, can be used to assess the effectiveness of the proposed approach.

During the field test, raw GPS observations were recorded with a sampling rate of 10Hz and the rover system was moved along the trajectory, which consists of 60 points (cf. Figure 5). The trajectory was planned along both buildings with different distances to the walls, to cover points with good, as well as bad GPS measurement conditions. In every stop phase of approximate 15 to 20 seconds duration, the prism pole was levelled and fixed with a pole tripod. Afterwards the reference measurement of the tacheometer was triggered.

During the measurements, in order to perform a relative GPS position determination in a post-processing phase, raw observations were also recorded at a master station that was placed near the test area. In Figure 5 the test area is presented, including the trajectory and the test points. Furthermore, the positions of the tacheometer and the GPS master station are shown.
3.3 Evaluation

In a first step, the initial antenna positions of the 60 test points were determined in a baseline solution for the original data set with all available observations using the open source software package RTKLIB (Takasu and Yasuda, 2009). Therefore, dual-frequency GPS measurements were used and a standard ionosphere model and the Saastamoinen troposphere model were applied. The standard elevation mask was set to 5° and the integer phase ambiguities were estimated and fixed continuously. Besides the positioning solution, also the accuracies of the initial antenna positions were provided by the software. The accuracies varied in a range of 3cm to 13cm and thus fulfil the requirements stated in section 3.1. For all of the initial antenna positions the OAEMs were determined according to the process described in section 3.1, based on the georeferenced TLS point cloud. In Figure 6 the skyplot of test point #22 (c.f. Figure 5) including the respective OAEM is shown exemplarily. By comparing the satellite positions and the elevation mask boundary in the skyplot of point #22, the satellites G23 and G30 can be identified as being obstructed by the right building. Hence, these satellite signals are either subject to NLOS reception or they are diffracted at the edge of the building.

After the determination, the OAEMs were applied to the original GPS observation data files. In a decision step all satellites without a direct line-of-sight were identified. This time synchronization was realized by using the time stamps of the GPS and tacheometer observations. Furthermore, the up component of the reference solution was corrected for the vertical offset between the antenna reference point and the prism center. In Figure 7 the differences in the up component to the terrestrial reference solution are shown for the original and the modified data sets. In the bottom panel, the test points where satellites were excluded by applying the determined OAEMs, are marked with black circles.

The comparison of the results shown in Figure 7 clearly demonstrates that the differences to the terrestrial reference solution decrease after the OAEMs are applied to the data set. Particularly between the two buildings the positional accuracy is improved significantly. For the modified data set the deviations in the up-component vary between maximum values of \( \pm 2.5 \)cm, whereas the original data set leads to coordinate differences between \( \pm 18.5 \)cm and \( 14.3 \)cm. The same holds for the east and north component respectively. After the OAEMs are applied, the combined RMS values for the coordinate differences of the test points are below one centimetre in all coordinate components (cf. Table 1). In comparison to the original RMS values, this corresponds to an improvement of up to 82%.

![Figure 6. Skyplot of test point #22. The black dashed line represent the OAEM determined for this initial antenna position.](image)

<table>
<thead>
<tr>
<th>East [m]</th>
<th>North [m]</th>
<th>Up [m]</th>
</tr>
</thead>
<tbody>
<tr>
<td>RMS Original data</td>
<td>0.025</td>
<td>0.036</td>
</tr>
<tr>
<td>RMS Modified data</td>
<td>0.008</td>
<td>0.007</td>
</tr>
</tbody>
</table>

Table 1. Deviations of test points to the terrestrial reference solution

Besides the magnitude of the coordinate differences, the success rate of the ambiguity resolution, which is shown in Table 2, demonstrates the effectiveness of the OAEMs. Even under challenging GPS measurement conditions, the majority of ambiguities can be fixed successfully, after excluding NLOS or diffraction...
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On the other hand, for the case that challenging environments cannot be avoided during the flight, elevation masks that are adaptive to the obstructions in the surrounding of the current UAV position were proposed. These OAEMs are derived from georeferenced 3D point clouds and can be used to identify GPS observations that are subject to NLOS reception or signal diffraction. In a field test, the RTK-GPS positions of 60 points of a trajectory where compared to known reference coordinates. For the original data set, the deviations at 11 of the 60 points exceeded values of 5cm with maximum deviations of ~18.5cm and 14.3cm. After the proposed method was applied, the coordinate differences for all points could be reduced to maximum values of ±2.5cm. Additionally, the success rate of the ambiguity resolution could be improved from 56% to 88% by excluding NLOS reception and signal diffraction.

Both strategies demonstrate that the integration of the UAV environment in terms of 3D models or point clouds, prior or during the UAV flight, enhances the direct georeferencing accuracies for UAV-based mapping and surveying applications.

Currently, the authors work on the implementation of the proposed methods in the georeferencing system of a real UAV, as well as on the integration of online available 3D building models with the data of the onboard mapping sensors. The aim is to realize a fully automated UAV flight with a cm-accurate direct positioning in near real-time, even under challenging GPS measurement conditions.

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